Please type a plus sign (*) inside this box →

PTO/SB/21 (08-00)
Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork R	Reduction Act of 1995,	no persons ar	e required to respond to a collect	tion of informa	ation unless it displays a valid OMB control number.
TRANSMITTAL FORM		Application Number	09/942,052		
		Filing Date	August 28, 2001		
		First Named Inventor	Arthur B. Raitano		
		Group Art Unit	1645		
(to be used for all correspondence after initial filing)			Examiner Name	To be Assigned	
Total Number Of Pages In This Submission 423		422	Attorney Docket No.	511582002800	
ENCLOSURES (check all that apply)					
Fee Transmittal Form		Ass	signment Papers		After Allowance Communication to Group
Fee Attached Dra		wing(s)	. [Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Pel	Petition		Proprietary Information
		tition to Convert to a ovisional Application	[Status Letter	
Extension of Time Request		Cha	ange of Correspondence Ad	dress	Other Enclosure(s) (please identify below): Return Postcard
		Copy of Notice to Co Substitute Specificat			Conv. of Natice to Comply:
Express Abandonme	ent Request	Re	quest for Refund		
Information Disclosure Statement, PTO Form 1449, References		X CD	, Number of CD(s)3		
Certified Copy of Priority Document(s)		Remarks			
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 C.F.R. 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Firm David L. Devernoe Reg. No. 50,128					
or Morrison & Foerster LLP Individual Name 3811 Valky Centre Drive, Suite 500, San Diego, California 92130					
Individual Name 3811 Vavey Centre Drive, Suite 500, San Diego, California 92130					
Signature					
Date February 14, 2003					
CERTIFICATE OF MAILING BY "EXPRESS MAIL"					
Express Mail Label No.: EV 042146409 US Date of Deposit: February 14, 2003					
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Sequence, US PTO, Washington, D.C. 20231					
Irina E. B/itva					



UNITED STATES PARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

APPLICATION NO CONTROL NO.

FIRST NAMED INVENTOR / **PATENT IN REEXAMINATION** ATTORNEY DOCKET NO.

09/942,052

08/28/01

RAITANO

511 582002 800

EXAMINER

Quana **ART UNIT PAPER**

1636 14

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

COMMENTS:

The application with the Serial No. 09/942052 does not comply with the sequence rules because sequences listed in Table IV on pages 135-138 have not been assigned with SEQ ID NOs, and they are not found in CRF or paper copy of sequence listing.

> SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1600



02-19-03

Page 1 of 2 03 CO

Commissioner for Patents Washington, DC 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/942.052

08/28/2001

Arthur B. Raitano

511582002800

25224 MORRISON & FOERSTER, LLP 555 WEST FIFTH STREET SUITE 3500 LOS ANGELES, CA 90013-1024 CONFIRMATION NO. 6518
FORMALITIES LETTER
OC000000009258488

Date Mailed: 12/18/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825. The application must be in sequence compliance before examination on the merits.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extension of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six-month statutory period. Direct the response to: The Office Of Initial Patent Examination.

See the attachment.

Applicant Must Provide as part of the response:

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Nu

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE